

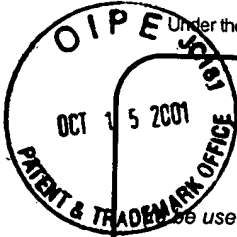
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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

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Application Number	09/687,148
Filing Date	October 12, 2000
First Named Inventor	Sie, John J.
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	019281001000

Total Number of Pages in This Submission

1

## ENCLOSURES (check all that apply)

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO/SB/08A<br>Return Postcard<br>Copies of 13 references cited |
|---|--|---|

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Thomas D. Franklin	Reg No. 43,616
Signature		
Date	10/4/01	

## CERTIFICATE OF MAILING

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TOWNSEND and TOWNSEND and CREW LLP

By: Janet D. Ohler



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

John J. Sie et al.

Application No.: 09/687,148

Filed: October 12, 2000

For: PROGRAMMING DISTRIBUTION  
AND NOTIFICATION SYSTEM

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Assistant Commissioner for Patents  
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Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

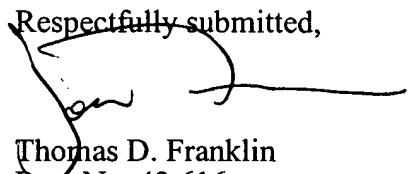
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

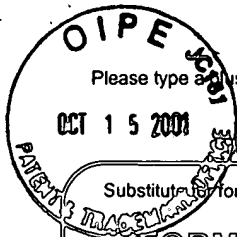


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Substitute form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **1**

### Complete if Known

Application Number	09/687,148
Filing Date	October 12, 2000
First Named Inventor	John J. Sie
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	019281-001000US

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### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	5,130,792		Tindell et al.	07-14-1992	
	AB	5,619,249		Billock et al.	04-08-1997	
	AC	5,805,154		Brown	09-08-1998	
	AD	Re. 36,801		Logan et al.	08-01-2000	
	AE	6,163,272		Goode et al.	12-19-2000	
	AF	6,209,024	B1	Armstrong et al.	03-27-2001	
	AG	6,229,895	B1	Son et al.	05-08-2001	
	AH	6,233,389	B1	Barton et al.	05-15-2001	
	AI	6,233,607	B1	Taylor et al.	05-15-2001	
	AJ	6,240,553	B1	Son et al.	05-29-2001	
	AK	6,253,375	B1	Gordon et al.	06-26-2001	
	AL	6,282,207	B1	Lerman et al.	08-28-2001	

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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AM	<sup>6</sup> Ciciora, Walter; James Farmer; David Large; <i>Modern Cable Television Technology: Video, Voice, and Data Communications</i> ; Morgan Kaufmann Publishers, Inc.; 1999; Chapter 16; pp. 659-688	

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Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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